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Re Applic of
Docket No.
Serial No.
Filing Date
Attorney

**David C. Ahlgren, et al.****FIS920000149US1****09/633,857****August 7, 2000****Joseph P. Abate****OFFICIAL****FAX RECEIVED****DEC 04 2002****GROUP 1700**

**Document(s) Attached: - RCE  
- Preliminary Amendment**

**PLEASE DELIVER TO:****EXAMINER: Robert M. Kunemund****ART UNIT: 1765****PHONE NO: 703-308-1091****FAX NO: 703-305-3599 or 703-872-9311**

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#14  
12/4/02

PTO/SB/30 (10/2001)

Approved for use through 10/31/2002 OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**REQUEST  
FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**Address to:  
Commissioner for Patents  
Box RCE  
Washington, DC 20231

Application Number	09/633,857
Filing Date	August 7, 2000
First Named Inventor	David C. Ahlgren
Art Unit	1765
Examiner Name	Robert M. Kunesmund
Attorney Docket Number	FI920000149US1

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

**1. Submission required under 37 CFR §1.114****a. ☐ Previously submitted**

i. ☐ Consider the amendment(s)/reply under 37 CFR §1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

iii. ☐ Other \_\_\_\_\_

**b. ☒ Enclosed**

i. ☒ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/Declaration(s)

iv. ☐ Other \_\_\_\_\_

**2. Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR §1.103(c) for a period of \_\_\_\_\_ months (Period of suspension shall not exceed 3 months; Fee under 37 CFR §1.17(i) required)

b. ☐ Other \_\_\_\_\_

**3. Fees** The RCE fee under 37 CFR §1.17(e) is required by 37 CFR §1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 09-0458 FI-543

i. ☒ RCE fee required under 37 CFR §1.17(e)

ii. ☒ Extension of time fee (37 CFR §§1.136 and 1.17)

iii. ☐ Other \_\_\_\_\_

b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

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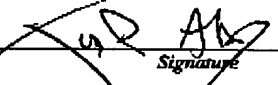
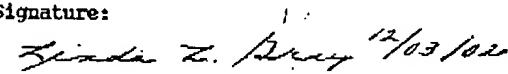
Name (Print / Type)	Joseph P. Abate	Registration No. (Attorney / Agent)	30,238
Signature	<i>Joseph P. Abate</i>	Date	12-3-02

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print / Type)	Linda L. Gray	Date	12/03/2002
Signature	<i>Linda L. Gray</i>		

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<b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b>			Docket No. <b>FIS920000149US1</b>		
Applicant(s): <b>David C. Ahlgren, et al.</b>					
Serial No. <b>09/633,857</b>	Filing Date <b>August 7, 2000</b>	Examiner <b>Robert M. Kunemund</b>	Group Art Unit <b>1765</b>		
Invention: <b>In-Situ Monitoring and Control of Germanium Profile in Silicon-Germanium Alloy Films and Temperature Monitoring during Deposition of Silicon Films</b>					
<b>FAX RECEIVED</b>					
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u>			DEC 04 2002		
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	18 -	20 =	0 x	\$18.00	\$0.00
INDEP. CLAIMS	2 -	3 =	0 x	\$84.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>
<div style="display: flex; justify-content: space-between;"><div><input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ A duplicate copy of this sheet is enclosed. <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0458 A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.</div><div style="text-align: right;">Dated: <u>12-3-02</u></div></div>					
<div style="display: flex; justify-content: space-between;"><div> _____ <b>Joseph P. Abate, Sr. Attorney</b> <b>Registration No. 30,738</b> <b>International Business Machines Corporation</b> <b>Dept. 18G / Bldg. 300-482</b> <b>2070 Route 52</b> <b>Hopewell Junction, New York 12533</b> <b>Phone: 845-894-4633</b> <b>Fax: 845-892-6363</b></div><div style="border: 1px solid black; padding: 5px; width: 300px;"><b>CERTIFICATION OF FACSIMILE TRANSMISSION:</b> I hereby certify that this correspondence is being facsimile transmitted to the USPTO on the date shown below: Date of Transmission: December 3, 2002 Name of Person Making Transmission: Linda L. Gray Signature: </div></div>					
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